ERTIFICATE OF MAILING BY U.S. FIRST CLASS MAIL

I hereby certify that the attached Information Disclosure Statement Pursuant to 37 C.F.R. §1.97-198, Form PTO-1449 and cited references, pursuant to 37 C.F.R. §1.8, are being deposited as U.S. First Class Mail this date with the United States Postal Service in an envelope addressed to Assistant Commissioner for Patents, Washington,

Signature of person depositing as First Class Mail

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE -- PATENT APPLICATION --

Applicant(s): Golwalkar, et al.

Attorney Docket

35706.9100

No.:

Serial No.:

09/911,918

Client Ref.:

68, 73 & 75

Filed:

July 24, 2001

Group Art Unit:

2874

Title:

APPARATUS FOR COUPLING A FIBER

Confirmation No.:

4220

OPTIC CABLE TO AN OPTOELECTRONIC DEVICE, A SYSTEM INCLUDING THE

APPARTUS, AND A METHOD OF

FORMING THE SAME

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449, which came to the attention of Applicant and Applicants' counsel within the last three months from a PCT International Search Report. One copy of each cited document is submitted herewith. Applicants respectfully submit that all pending claims are patentable over the foregoing references, either alone or in combination with prior submitted documents. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The documents submitted in this Information Disclosure Statement were cited in a search report dated 24 September 2002 in the corresponding PCT application, PCT/US02/02241, not more than three months prior to the filing of this statement, and therefore no fee under 37 C.F.R. §1.17(p) is required.

Respectfully submitted,

Snell & Wilmer, L.L.P.

Cynthia L. Pillote

Reg. No. 42,999

Enclosures:

PTO-1449

Copies of Cited References

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